



# OFFICE OF THE GOVERNOR

## RECORDS RETENTION AND DESTRUCTION SCHEDULE

State of South Dakota

Bureau of Administration

Records Management Program

(605) 773-3589



DEPARTMENT OF  
EXECUTIVE MANAGEMENT

**BUREAU OF  
ADMINISTRATION**

**PMB 01234**

**RECORDS MANAGEMENT PROGRAM**  
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## MEMORANDUM

TO: State Agencies

FROM: Dana Hoffer  
State Records Manager

SUBJECT: **Records Retention and Destruction Schedule Manual**

DATE: December 12, 2017

In 1967, the South Dakota Legislature established the Records Management Program and the Records Destruction Board. In the same act, the Legislature required every State agency to develop a records retention and destruction schedule and declared that “No record shall be destroyed or otherwise disposed of by any agency of the State unless it is determined by majority vote of such board (Records Destruction Board) that the record has no further administrative, legal, fiscal, research or historical value.”

According to Administrative Rule 24:52:11:01, any State government agency planning to destroy agency records shall notify the State Archivist 30 days before the date of the proposed destruction. The request shall include the name or title of the records, inclusive dates, information content of the records, and quantity. This rule applies to all records, including those granted exclusive or continuous disposal authorization by the Records Destruction Board, with the following exceptions: vouchers and supporting documents; warrants; personnel and payroll records; client/case files; capital asset inventories; cash receipts; and duplicate copies of state publications.

The State Archivist has 30 days to certify that the records have no permanent value and may be destroyed, or to make arrangements to transfer the records to the archives. If the Archivist fails to make a recommendation within this time, the records may be destroyed, provided that the agency has received authorization from the Records Destruction Board.

Finally, if you have any questions about implementing this manual or about your records in general, please contact Records Management at 773-3589. We will welcome an opportunity to discuss the proper implementation of sound records management practices.

# PETITION FOR AUTHORITY TO DESTROY RECORDS

I, Mike Rounds (name), acting in my position as Governor (title), request that the South Dakota State Records Destruction Board consider the attached "Records Retention and Destruction Schedule" pursuant to SDCL 1-27-13, 1-27-14, 1-27-19 and ARSD 10:03:01-02.

The records petitioned to be destroyed are described in the "Record Retention and Destruction Schedule" Authorization of the Office of the Governor (department) consists of 10 pages and contains record series number(s) OG-1 (consecutively re-numbered) through OG-18.

The authority requested is to destroy each record described in the attached Schedule at the expiration of the time provided for the retention of each record.

Authority is also requested to delete "Records Retention and Destruction Schedule" Authorization of the Office of the Governor (department) record series numbers(s) GOV-1, GOV-53, GOV-4 thru GOV-9, GOV-11, GOV-13, GOV-17, GOV-18, GOV-52, GOV-19, GOV-21 thru GOV-24, GOV-26 thru GOV-28, GOV-30, GOV-31, GOV-33 thru GOV-35, GOV-37, GOV-38, GOV-41 thru GOV-43, GOV-45, GOV-46, GOV-49, and GOV-51.

The undersigned certifies that the retention for each and every record petitioned to be destroyed does not violate any minimum retention time required by state statute; will allow for required state and federal audits to be performed or the time within which to make said audits to pass; and will allow for all applicable statutes of limitations to pass for all state contracts and Surety Bonds.

  
\_\_\_\_\_  
Mike Rounds, Governor of South Dakota

8/16/07  
\_\_\_\_\_  
Date

The above and foregoing Petition is hereby recommended for approval by the State Records Destruction Board.

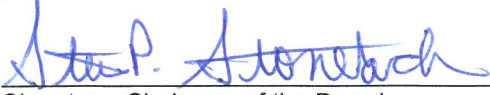
  
\_\_\_\_\_  
Dana Hoffer, State Records Manager

8/20/2007  
\_\_\_\_\_  
Date

\*\*\*\*\*

## DESTRUCTION AUTHORITY

I hereby certify that the State Records Destruction Board met on the 22<sup>ND</sup> day of August, 2007, and authorized the destruction of the records described in the foregoing Petition at the expiration time provided for their storage.

  
\_\_\_\_\_  
Signature, Chairman of the Board

8-22-07  
\_\_\_\_\_  
Date

# PETITION FOR AUTHORITY TO DESTROY RECORDS

I, Nathan Sanderson, Ph.D., acting in my position as Director of Policy & Operations for the Office of the Governor, requests that the South Dakota State Records Destruction Board consider the attached "Records Retention and Destruction Schedule" pursuant to SDCL 1-27-13, 1-27-14, 1-27-19, and ARSD 10:03:01-02.

Authority is requested to delete "Records Retention and Destruction Schedule" Authorization of the Office of the Governor; this request consist of 4 pages and contains record series numbers(s) OG-12, OG-14, and OG-17.

The undersigned certifies that the retention for each and every record petitioned to be destroyed does not violate any minimum retention time required by state statute; will allow for required state and federal audits to be performed or the time within which to make said audits to pass; and will allow for all applicable statutes of limitations to pass for all state contracts and Surety Bonds.

  
\_\_\_\_\_  
Nathan Sanderson, Ph, D.  
Director of Policy & Operations Office of the Governor

11-15-2017  
Date

The above and foregoing Petition is hereby recommended for approval by the State Records Destruction Board.

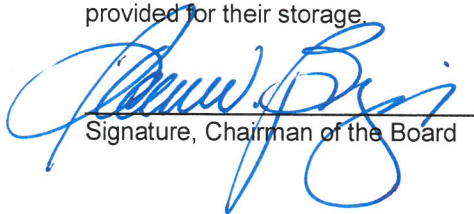
  
\_\_\_\_\_  
Dana Hoffer, State Records Manager

11-16-2017  
Date

\*\*\*\*\*

## DESTRUCTION AUTHORITY

I hereby certify that the State Records Destruction Board met on the 11<sup>TH</sup> day of December, 2017, and authorized the destruction of the records described in the foregoing Petition at the expiration time provided for their storage.

  
\_\_\_\_\_  
Signature, Chairman of the Board

12-11-17  
Date

# TABLE OF CONTENTS

## Office of the Governor:

### Administration:

OG-1.	ADDRESSES TO THE LEGISLATURE, GOVERNOR'S: .....	1
OG-2.	ADMINISTRATIVE REFERENCE FILES: .....	1
OG-3.	CORRESPONDENCE DATABASE:.....	2
OG-4.	EXECUTIVE APPOINTMENTS FILES: .....	2
OG-5.	EXECUTIVE ORDERS: .....	3
OG-6.	EXECUTIVE PARDONS:.....	3
OG-7.	EXECUTIVE PROCLAMATIONS: .....	4
OG-8.	RECORDS MANAGEMENT FILES: .....	4

### Fiscal:

OG-9.	BUDGET REQUESTS: .....	5
OG-10.	CONTRACTS AND AGREEMENTS: .....	5
OG-11.	GRANT FILES:.....	6
OG-13.	PERSONNEL FILES:.....	6
OG-15.	RECEIPT BOOKS:.....	7
OG-16.	SOUTH DAKOTA FINANCIAL SYSTEM REPORTS: .....	8
OG-16.1.	SURPLUS PROPERTY FILES: .....	9
OG-18.	VOUCHERS: .....	9

STATE OF SOUTH DAKOTA  
RECORDS RETENTION &  
DESTRUCTION SCHEDULE  
AUTHORIZATION FORM  
(Std Form RM-1 Rev 1/03)

DEPARTMENT: Executive Management  
DIVISION: Gubernatorial  
OFFICE: Governor  
PROGRAM: Administration  
RECORDS OFFICER: Kelsey Pritchard  
RM CUSTOMER #: 0333

<u>RECORD</u> <u>SERIES NO.</u>	<u>TITLE---DESCRIPTION---RETENTION AND DESTRUCTION SCHEDULE</u>	<u>R.D.B.</u> <u>AUTHORITY</u> <u>NUMBER</u>
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**OG-1. ADDRESSES TO THE LEGISLATURE, GOVERNOR'S:**

**07-017**

This series is arranged chronologically and contains both the recorded and text copies of the Governor's State of the State addresses and other special addresses given to joint sessions of the Legislature. The official record is maintained in the respective "House Journals".

**RETENTION:** Retain 2 years in office, then transfer to Archives.

(Note: Previous record series number was GOV-2.)

**OG-2. ADMINISTRATIVE REFERENCE FILES:**

**07-017**

This series may be arranged by subject matter and contains information used in the daily administration of the program or office. Information may include, but is not limited to: budget, equipment, inventory, legislation, organization/association, and property management information; monthly reports; policies and procedures; reference manuals; logs; rules and regulations; mailing lists; general correspondence and any other related information. This record series is maintained for reference purposes.

**RETENTION:** Retain current in office. Destroy superseded or obsolete.

(Note: Cull files on a yearly basis to avoid build-up of superseded or obsolete materials.)

(Note: Subject to Archival screening prior to disposal.)

(Note: Previous record series number was GOV-3.)

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OFFICE: Governor  
PROGRAM: Administration  
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<u>RECORD</u> <u>SERIES NO.</u>	<u>TITLE---DESCRIPTION---RETENTION AND DESTRUCTION SCHEDULE</u>	<u>R.D.B.</u> <u>AUTHORITY</u> <u>NUMBER</u>
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**OG-3. CORRESPONDENCE DATABASE:**

**07-017**

This database series is an index for tracking both active and inactive correspondence files. Information may include: name, town, date, subject matter, reference number, tracking, file date, and file name. This record series is maintained for tracking and reference purposes.

**RETENTION:** Retain database current. At the discretion of the Governor's Office, destroy inactive or closed file data.

(Note: Previous record series number was GOV-10.)

**OG-4. EXECUTIVE APPOINTMENTS FILES:**

**07-017**

This series is arranged alphabetically by name of Board or Commission and contains copies of letters appointing citizens to membership on various boards and commissions. Material specifying the statutory qualifications of members (i.e. residence in a certain area of South Dakota, membership in a particular political party, possession of a certain professional license, etc.) is also maintained here for reference purposes. Information may include: appointee's name and address, board/commission appointed to, term effective date, duration, term expiration date, predecessor's name, law by which the appointment was made, and signature of the Governor.

**RETENTION:** Retain current in office. Destroy superseded or obsolete.

(Note: The Secretary of State maintains these records permanently on microfilm.)

(Note: Previous record series number was GOV-12)

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RECORDS RETENTION &  
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------------------------------------	---	--

**OG-5. EXECUTIVE ORDERS:**

**07-017**

This series is arranged chronologically by calendar year, then numerically by order number and contains copies of executive orders filed with and attested by the Secretary of State. Information may include: order number, title, nature of the order, and signatures of the Governor and the Secretary of State. These executive orders are maintained for reference purposes.

**RETENTION:** Retain 2 years in office, then destroy.

(Note: The Secretary of State maintains Executive Orders permanently on microfilm.)

(Note: Previous record series number was GOV-14.)

**OG-6. EXECUTIVE PARDONS:**

**07-017**

This series is arranged alphabetically by name and contains the reference copies of pardons handed down by the Governor. Information may include: name of person, nature of pardon, authorizing signatures, and date filed. This record series is maintained for reference purposes.

**RETENTION:** Retain 2 years after last activity, then destroy.

(Note: The Secretary of State maintains these records permanently on microfilm.)

(Note: Previous record series number was GOV-15.)



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**OG-7. EXECUTIVE PROCLAMATIONS:**

**07-017**

This electronic series is arranged alphabetically and contains copies of executive proclamations issued by the Governor. Information may include: title and nature of the proclamation. This record series is maintained for reference purposes.

**RETENTION:** Retain 1 year in office, then destroy.

(Note: The Secretary of State maintains Executive Proclamations permanently on microfilm.)

(Note: Previous record series number was GOV-16.)

**OG-8. RECORDS MANAGEMENT FILES:**

**07-017**

This series contains the completed forms used to track inactive records that have been sent to Records Management (RM) Storage; and the department's "Records Retention and Destruction Schedule" (RRDS). Information may include: standard records transfer receipt forms, microfilm project registration forms; and microfilm and box destruction authorization forms. This record series is maintained for tracking records sent to Records Management storage, to document the time each record series must be legally maintained, and to document authorization granted to RM for destruction of obsolete records.

**RETENTION: RECORD DESTRUCTION AUTHORIZATION FORMS:** Retain 3 years in office, then destroy.

**ALL OTHER INFORMATION:** Retain current in office. Destroy superseded or obsolete.

(Note: Previous record series number was GOV-20.)

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OFFICE: Governor  
PROGRAM: Fiscal  
RECORDS OFFICER: Kelsey Pritchard  
RM CUSTOMER #: 0333

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**OG-9. BUDGET REQUESTS:**

**07-017**

This series is arranged chronologically and contains the budget request of the various entities of the Governor's Office. Information may include: department hierarchy, dollar amount requested, justifications for increased request amounts, performance indicators, mission statements, goals and objectives, and revenue projections. This record series is used to formulate the newest budget request for the program and for reference to determine budget amounts for previous years.

**RETENTION:** Retain current in office. Destroy superseded or obsolete.

(Note: Previous record series number was GOV-25.)

**OG-10. CONTRACTS AND AGREEMENTS:**

**07-017**

This series contains contracts and agreements between the agency and other parities. Information may include: terms and conditions of the contracts and agreements, effective dates, costs, and funding sources. This record series is kept for reference and audit purposes.

**RETENTION:** Retain originals current in office. Destroy 6 years after terminated.

Retain reference copies current in office. Destroy terminated.

(NOTE: SDCL 1-24A-1 requires that a copy of all consultant contracts be filed with the State Auditor within five days after such contracts is entered into and finally approved by the contracting parties. All consultant contracts should be filed with the Office of State Auditor prior to the work being performed.)

(Note: Previous record series number was GOV-29.)

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**OG-11. GRANT FILES:**

**07-017**

This series is arranged alphabetically by grant name and contains information concerning the administration of funded grants. Information may include: grant applications, working papers, grant documentation, and monitoring and accounting records. This record series is used for administering current grants, for reference when requesting new grants, and for audit purposes.

**RETENTION:** Retain current in office. Transfer terminated to storage for 2 years. Destroy 2 years after terminated provided all litigation, claims, and audit findings involving the records have been resolved and final action has been taken.

(Note: Previous record series number was GOV-32.)

**OG-13. PERSONNEL FILES:**

**07-017**

This series is arranged alphabetically by name and contains a folder for each employee in the agency. Information may include, but is not limited to: applications for employment, personal data sheets, personnel action notices (PA 32's), position description questionnaires, authorizations for payroll deductions, letters of reprimand and commendation, resumes, service records, notices of resignation, W-4 forms, training records, and supervisors reports of employee separation. These files serve as a history of the employees' service and training with the facility and provide payroll information. This record series is maintained to review work history of former employees who apply for work and for audit purposes.

**RETENTION:** Retain active in office. Transfer terminated to storage for 3 years. Destroy 3 years after terminated provided sufficient data on hours worked and compensation received have been maintained by the Department of Labor, Division of Retirement and Insurance, and provided 1 year has passed since an independent post-audit report has been received.

(Note: Previous record series number was GOV-39.)

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**OG-15. RECEIPT BOOKS:**

**07-017**

This series contains forms issued to document the receipt of money. Receipts are pre-numbered and include: date money was received, amount received, funds and accounts to be credited, amount to be credited, and signature of the person receiving the money. This record series is maintained for audit purposes.

**RETENTION:** Retain full book 2 years in office, then destroy provided all litigation, claims, and audit findings involving the records have been resolved and final action has been taken.

(Note: Previous record series number was GOV-44.)

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**OG-16. SOUTH DAKOTA FINANCIAL SYSTEM REPORTS:**

**07-017**

These daily, weekly, monthly\*\*, and year-end\*\* computer output microfiche (COM) reports are used to monitor and reconcile fiscal year receipts and expenditures. Information may include, but is not limited to: revenue and journal voucher report\*, open purchase order report, available funds report\*, advance travel-accounts receivable report, revenue analysis report, state general ledger trial balance\*, company general ledger trial balance\*, expenditure report\*, bank reconciliation report\*, employee receivable report\*, bank reconciliation report\*, encumbrance detail report\*, accounts payable report\*, projects report\*, cash center report\*, object/sub-object report\*, budget adjustments report, special Bureau of Administration revenue report, agency funds activity report\*, company 8000 trial balance by center\*, encumbrance balances report\*, and special travel expenditure report. This record series is maintained for audit purposes.

**RETENTION:** Retain 2 years in office, then destroy provided all litigation, claims, and audit findings involving the records have been resolved and final action has been taken.

(NOTE: The asterisk (\*) indicates reports maintained permanently on microfilm or COM by the Bureau of Finance and Management. (\*\*) Many of the June monthly reports serve as the year-end reports.)

(Note: Previous record series number was GOV-47.)

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**OG-16.1. SURPLUS PROPERTY FILES:**

**09-012**

This series contains the form submitted to the director of South Dakota Property Management for approval to dispose of State-owned personal property and the written notification received from Property Management designating the manner of disposal. Information may include: agency name; date; address or location of property; phone number; name of individual requesting; Property Management Officer's name; description of item(s); quantity; serial number; equipment number; desired method of disposal as authorized; reason for declaring property as surplus; estimate of current value; suggested selling price; minimum acceptable price; statement of content; fixed asset number, if applicable; and any other information deemed necessary. This record series is maintained pursuant to SDCL 5-24A.

**RETENTION:** Retain 1 year in office, then transfer to storage for 3 years. Destroy after 4 years provided all litigation, claims, and audit findings involving the records have been resolved and final action has been taken.

**OG-18. VOUCHERS:**

**07-017**

This series may contain copies of direct vouchers, receiving vouchers, non-cash vouchers, and journal vouchers; along with copies of any supporting invoices or receipts. Vouchers are used to enter transactions on the central accounting system. This record series is maintained for reference purposes.

**RETENTION:** Retain 2 years in office, then destroy provided all litigation, claims, and audit findings involving the records have been resolved and final action has been taken.

(Note: Previous record series number was GOV-50.)